

Audit & Governance Committee – draft work plan

Training/briefing events will be held at appropriate points in the year to support members in their role on the Committee.

| Theme | Item | Lead officers | Scope |
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| 6th April <i>Papers published 29/03</i> | | | |
| Risk | Key Corporate Risks monitor 4 | <u>CYC</u> Helen Malam | Update on Key Corporate Risks (KCRs) |
| External Audit | Mazars Audit Progress (if required) | <u>Mazars</u> Mark Kirkham | Update report from external auditors detailing progress in delivering their responsibilities as the Council's external auditors. |
| Governance | Report of the Monitoring Officer | <u>CYC</u> Janie Berry | To include Progress against the Action Plan |
| HR | Governance Update from Head of HR on CYC settlement agreements | <u>CYC</u> Helen Whiting | |
| Veritau (internal audit / counter fraud) | Internal Audit & Fraud Plan & Progress report | <u>Veritau</u> Max Thomas/ Richard Smith | An update on progress made in delivering the internal audit work plan for 2021/22 and on current counter fraud activity. |
| Veritau (internal audit / counter fraud) | Internal audit and counter fraud plans 2022/23 | <u>Veritau</u> Max Thomas/ Richard Smith | To present internal audit and counter fraud plans for 2022/23 to the committee for approval. |
| June (end of month) | | | |
| Governance | Corporate Governance Report | <u>CYC</u> Lorraine Lunt | To provide Members with an update on corporate governance including issues. |
| Risk | Key Corporate Risks monitor 1 | <u>CYC</u> Helen Malam | Update on Key Corporate Risks (KCRs) |
| Veritau (internal audit / counter fraud) | Annual report of the head of internal audit | <u>Veritau</u> Max Thomas/ | This will include the annual reporting of any findings in respect of settlement agreements entered into by the |

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| | | Richard Smith | Council. |
| Veritau (internal audit / counter fraud) | Annual counter fraud report | <u>Veritau</u> Max Thomas/ Richard Smith | A summary of counter fraud work and outcomes for 2021/22 |
| Finance | Treasury Management Outturn | <u>CYC</u> Debbie Mitchell | |
| Finance | Draft Accounts | <u>CYC</u> Debbie Mitchell/ Emma Audrain | |
| October | | | |
| Governance | Corporate Governance Report | <u>CYC</u> Lorraine Lunt | To provide Members with an update on corporate governance including issues. |
| Governance | Report of the Monitoring Officer | <u>CYC</u> Janie Berry | To include Progress against the Action Plan |
| Veritau (internal audit / counter fraud) | Internal audit & counter fraud progress report | <u>Veritau</u> Max Thomas/ Richard Smith | An update on progress made in delivering the internal audit work plan for 2022/23 and on current counter fraud activity. |
| Risk | Key Corporate Risks monitor 2 | <u>CYC</u> Helen Malam | Update on Key Corporate Risks (KCRs) |
| Finance | Final Accounts | <u>CYC</u> Debbie Mitchell/ Emma Audrain | Date subject to External Audit. |
| Finance | Audit Completion Report | <u>CYC</u> Debbie Mitchell/ Emma Audrain | Date subject to External Audit. |
| Finance | Treasury Management Midyear Review | <u>CYC</u> Debbie Mitchell | |
| December | | | |
| Governance | Corporate Governance Report | <u>CYC</u> Lorraine Lunt | To provide Members with an update on corporate governance including issues. |

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| Governance | Progress against the Action Plan | <u>CYC</u> Janie Berry | |
| Veritau (internal audit / counter fraud) | Internal audit & counter fraud progress report | <u>Veritau</u> Max Thomas/ Richard Smith | An update on progress made in delivering the internal audit work plan for 2022/23 and on current counter fraud activity. |
| Risk | Key Corporate Risks monitor 3 | <u>CYC</u> Helen Malam | Update on Key Corporate Risks (KCRs) |
| January / February 2023 | | | |
| Veritau (internal audit / counter fraud) | Consultation on the annual audit work programme | <u>Veritau</u> Max Thomas/ Richard Smith | To seek the committee's view on priorities for audit work in 2023/24. |
| Veritau (internal audit / counter fraud) | Annual review of the counter fraud framework | <u>Veritau</u> Max Thomas/ Richard Smith | To present the findings of the annual review of the counter fraud framework and risk assessment, and seek comments on any updates needed to counter fraud and related policies. |
| Finance | Treasury Management Strategy | <u>CYC</u> Debbie Mitchell | |
| Governance | Report of the Monitoring Officer | <u>CYC</u> Janie Berry | To include Progress against the Action Plan |
| March 2023 | | | |
| Governance | Corporate Governance Report | <u>CYC</u> Lorraine Lunt | To provide Members with an update on corporate governance including issues. |
| Risk | Key Corporate Risks monitor 4 | <u>CYC</u> Helen Malam | Update on Key Corporate Risks (KCRs) |
| Veritau (internal audit / counter fraud) | Approval of indicative annual internal audit programme and counter fraud plan | <u>Veritau</u> Max Thomas/ Richard Smith | To seek approval for the 2023/24 internal audit work programme, and the counter fraud plan. |
| Veritau (internal audit / counter fraud) | Internal audit & counter fraud progress reports | <u>Veritau</u> Max Thomas/ Richard Smith | An update on progress made in delivering the internal audit work plan for 2022/23 and on current counter fraud activity. |